

August 23, 2001

OUR FILE NO. 104703-72  
DIVER1150-5

**VIA EXPRESS MAIL**

Cynthia Kratz, Esq.  
United States Patent & Trademark Office  
PCT Legal Office  
Crystal Plaza 2, CP2 7A 04  
2011 S. Clark Place  
Arlington, VA 22202-3513

**RECEIVED**

**28 AUG 2001**

Legal staff  
International Division

**Re: PCT/US97/08793**

Dear Ms. Kratz:

As you requested this morning in your telephone call with Kelly Reynolds, enclosed please find a true copy of the Patent Application as it was filed in the PTO on May 22, 1997. Also enclosed are copies of the express mail receipt and the postcard for this filing. Please review these documents at your earliest convenience and inform us as to the status of the application.

Also enclosed for your reference is a copy of the Fax cover sheet, dated July 26, 2001, addressed to Charles Smoot of your office. Mr. Smoot requested copies of the Petition for Revival, the Statement under 37 C.F.R. § 1.137(b), and a copy of the return postcard, in order to determine the status of the application.

Thank you for your assistance in this matter.

Very truly yours,

**Gray Cary Ware & Freidenrich LLP**

By: 

Lisa A. Haile, Ph.D.  
lhaile@graycary.com

Admitted to practice in California

LAH/KKR:cka  
Enclosures

4365 Executive Drive, Suite 1600  
San Diego, CA 92121-2189  
www.graycary.com

O] 858-638-6724  
F] 858-677-1465

## FAX TRANSMISSION COVER SHEET

July 26, 2001

To:

Telephone:

Fax Number:

Charles Smoot  
PCT Legal Office

703 308 6459

From: Kelly Andres Reynolds  
858-638-6724

Client-Matter Number: 104703-156613

Re: PCT/US97/08793

Pages: - 6 - (including this form)      Originals: ☐ will be mailed ☐ will not be mailed

If there is a problem with this transmission, please call CK Allen (858) 638 6670  
Fax Operator/Ext.

### Message:

Per your request for the papers missing from your file on this case, in order to determine the status of the Petition for Revival of an unintentionally abandoned Patent Application, here are the documents as they were mailed to the PTO on April 18, 2001.

Please call me at 858 638 6724 upon receipt of this facsimile with determination of the status of the application.

Thank you for your assistance on this matter.

Kelly Andres Reynolds

### CONFIDENTIALITY NOTICE

This communication is ONLY for the person named above. Unless otherwise indicated, it contains information that is confidential, privileged or exempt from disclosure under applicable law. If you are not the person named above, or responsible for delivering it to that person, be aware that disclosure, copying, distribution or use of this communication is strictly PROHIBITED. If you have received it in error, or are uncertain as to its proper handling, please immediately notify us by collect telephone and mail the original to us at the above address. Thank you.

(Form Rev. 6/5/00)

09/914543

OK to process

Let "check". 11/6/01

Harry Phillips

The PTO did not receive the following  
listed item(s)

No Postcard

**474790**

INVOICE	DATE	AMOUNT
04/18/01 21489-1	04-18-01	1,050.00
<p>104703-156613 Judy Sherman x6746</p> <p>DIVER 1150W01</p>  <p>National Filing Fee \$430-</p> <p>Petition Fee \$620-</p> <p>PCT/us97/08793</p>		

VENDOR ID: cpt

THE FACE OF THIS DOCUMENT HAS A COLORED BACKGROUND-NOT A WHITE BACKGROUND

Training in the  
science of Phonics

09/914543

# POST OFFICE TO ADDRESSEE

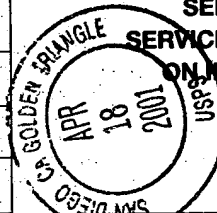


\*EL617045648US\*

EL617045648US

## ORIGIN (POSTAL USE ONLY)

PO ZIP Code <b>92192</b>	Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date In <b>4/18/01</b>	<input type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 3 PM	Postage <b>\$ 16.00</b>
Time In <b>1:30 PM</b>	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight <b>14.3</b> lbs. ozs.	Int'l Alpha Country Code	COD Fee Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials <i>[Signature]</i>	Total Postage & Fees <b>\$ 16.00</b>



SEE REVERSE SIDE FOR  
SERVICE GUARANTEE AND LIMITS  
ON INSURANCE COVERAGE

*Box: PCT  
PCT Legal Office*

## CUSTOMER USE ONLY

METHOD OF PAYMENT: Express Mail Corporate Acct. No. <b>X920234002</b>	<input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only: Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.)
Federal Agency Acct. No. or Postal Service Acct. No.	NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday

## FROM: (PLEASE PRINT)

PHONE **619.677.1400**

GRAY CARY WAKE & FREIDENRICH  
4365 EXECUTIVE DR STE 1600  
SAN DIEGO CA 92121-2101

104703-156613 / LAH / 21489 / jas  
DIVER1150W01 (09010/007W01)

## TO: (PLEASE PRINT)

PHONE ( )

ASSISTANT COMMISSIONER  
FOR PATENTS  
WASHINGTON DC 20231-9999

PCT NATIONAL PHASE APPLICATION AND  
PETITION TO REVIVE ENCLOSED

## PRESS HARD.

You are making 3 copies.

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.gov



Please acknowledge receipt of the accompanying:

PCT International Application / NATIONAL PHASE APPLICATION

Applicant's Name Lam and MathurTitle ENDOGLUCANASESSheets of Request Transmittal - (2pgs.) + PCT Not. of ReceiptSheets of Description 36 and Pub. cover (4pgs.)Sheets of Drawings 121 - Sequence ListingsSheets of Claims 2Sheets of Abstract ISF (3 pgs)Total Sheets 2 copies☒ Power of Attorney and Declaration (2 pgs.)☒ Other Petition for Revival Unintention Abandoned Appl. (2pgs.)☒ Request to charge Deposit Account Paragraph Statement Under 37 CFR 1.137 (1pgs.)☒ Fee \$ 1,050 enclosedCheck No.: 474790☒ Certificate of Express Mailing EL617045648USOur Docket No.: DIVER1150W01Date Mailed: 4/18/01Client: Diversa/104703-156613Attny/Secy LAH/KKA/jas

By placing your receiving date stamp including Serial No. hereon and mailing.

(09010/007W01) **REC'D PCT/PTO 18 APR 2001**

FORM PCT Appln

(09010/007W01)

FORM PCT Appln

Customer Copy  
Label 11-F July 1997

*DIVER  
1150W01*